

11-05-02

PATENT
RD-27,422

3624

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dixon, III et al.

Serial No.: 09/391,772

Filed: September 8, 1999

For: METHODS AND APPARATUS
FOR SUBMITTING
INFORMATION TO AN
AUTOMATED LENDING
SYSTEM

Art Unit: 3624

Examiner: Ella Colbert

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I certify that the documents listed below:

- Transmittal (3 pgs., in duplicate)
- Amendment in Response to Office Action dated April 9, 2002 (23 pgs.)
- Submission of Marked Up Paragraphs and Claims (12 pgs.)
- Request for Approval of Drawing Changes (8 pgs.)
- Twenty-eight sheets of Formal Drawings
- Certificate of Mailing via Express Mail (1 pg.)
- Return post card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

Patrick W. Rasche
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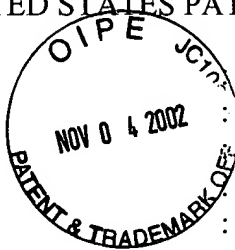
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Art Unit: 3624

Examiner: Ella Colbert

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Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

- Transmitted herewith is:
Amendment in Response to Office Action dated July 5, 2002; Submission of Marked Up Paragraphs and Claims; Request for Approval of Drawing Changes; Twenty-eight Sheets of Formal Drawings; Certificate of Mailing by Express Mail

STATUS

- Applicant
☒ claims small entity status.
☒ is other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

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EV160262474US, addressed to the Commissioner for
Patents, Washington, D.C. 20231

Date: November 4, 2002

FACSIMILE

transmitted by facsimile to the Patent and Trademark
Office

Patrick W. Rasche
Reg. No. 37,916

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) _____ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input checked="" type="checkbox"/> first month	\$ 110.00	\$ 55.00
<input type="checkbox"/> second month	\$ 400.00	\$ 200.00
<input type="checkbox"/> third month	\$ 920.00	\$ 460.00
<input type="checkbox"/> fourth month	\$1,440.00	\$ 720.00
<input type="checkbox"/> fifth month	\$1,960.00	\$ 980.00

Fee: \$110.00

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

_____ An extension of _____ months has already been secured. The fee paid therefor \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 110.00

OR

- (b) _____ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OR	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL	18	MINUS	20	=0	x \$9 = \$		x \$18 = \$0.00
INDEP.	4	MINUS	3	=1	x \$42 = \$		x \$84 = \$84.00
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$84.00

- (a) _____ No additional fee for Claims is required

OR

- (b) ☒ Total additional fee for claims required \$ 84.00

FEE PAYMENT

5. _____ Attached is a check in the sum of \$ _____
- ☒ Charge Deposit Account No. 01-2384 the sum of \$194.00
A duplicate of this transmittal is attached.

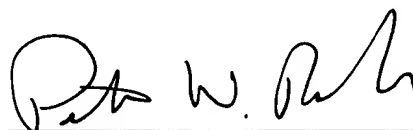
FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

- ☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. _____ Other:



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#8
11/15/02

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REQUEST FOR APPROVAL OF DRAWING CHANGES

Hon. Commissioner for Patents
Washington, D.C. 20231

Submitted herewith is a copy of Figures 3, 4, 5, 9, 10, 12, 18 and 19 in the above referenced patent application showing proposed drawing changes. More specifically, Figure 3 has been amended and illegible labeling has been addressed; Figures 4, 5, 9, 10, and 12 have been amended to correct certain reference characters; and Figures 18 and 19 have also been amended. No new matter has been added.

Additionally, Figure 21 has been divided into Figures 21A-21C on separate drawing sheets, Figure 22 has been divided into Figures 22A-22C on separate drawing sheets, and Figure 23 has been divided into Figures 23A-23B on separate drawing sheets. The specification has been amended as set forth above to reflect the division of Figures 21, 22, and 23. No new matter has been introduced by the proposed division of Figures 21, 22, and 23, and the corresponding amendments to the specification. In anticipation of approval, formal drawings for Figures 1-28 are submitted herewith for consideration.

Respectfully Submitted,

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